

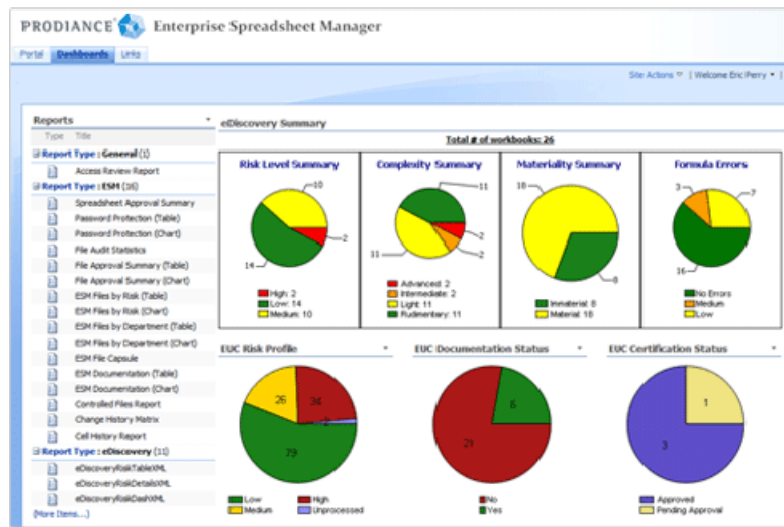
Enterprise Spreadsheet Manager

Automating the Spreadsheet and EUC Management Lifecycle

Organizations using uncontrolled spreadsheets, Access databases, and other end-user computing (EUC) applications in critical business processes are exposed to significant operational risk and non-compliance with regulatory mandates (including SOX 404, NAIC Model Audit Rule, FDICIA, OCC, FSA, Basel II, and MiFID). These critical processes include financial reporting, closing the books, account reconciliations, revenue recognition, consolidation, tax cycle, risk and uncertainty analysis, cash flow analysis, trading operations and many others. Leading tax and audit firms recommend that organizations who rely heavily on mission critical spreadsheets and EUCs should establish effective internal controls to help mitigate the risk of errors, improve compliance and transparency, increase audit efficiency, prevent fraud, and drive process improvements.

Key Features & Benefits

- Provides sustainable audit and control over mission critical spreadsheets, Access databases and other EUCs
- Satisfies auditor guidance and recommended controls for improving SOX 404 and Model Audit Rule compliance
- Automates all key controls recommended by auditors, version control, access control, cell level auditing, change control, analytics, documentation, and review and approval
- Supports Microsoft Office Excel and Access 2007 and all prior versions
- Comprehensive workflow and document management and tight integration with Microsoft Office SharePoint Services and SharePoint Server 2007
- Powerful rules engine to drive risk assessment and alert on policy violations
- Increases transparency over end-user computing applications
- Drives proven ROI through improved compliance, effective risk mitigation, fraud prevention, improved audit efficiency, and process improvement
- Enterprise class scalability to support both departmental installations and global enterprises spanning thousands of users and EUCs



Prodiance Enterprise Spreadsheet Manager (ESM) is an enterprise class governance, risk and compliance management system for mission critical spreadsheets, Access databases and other EUCs. Prodiance ESM helps automate the EUC control environment to make compliance and risk mitigation sustainable and part of doing business as usual.

Non-invasive for End Users

Prodiance ESM is entirely web based and requires no software or add-ins on user desktops for pervasive monitoring, exception reporting and lock down of EUCs. Prodiance ESM incorporates a web based architecture built with the latest enabling technologies available from Microsoft. There is absolutely no impact on

spreadsheet and EUC performance, and no change impact to existing business processes. This increases end user adoption, and minimizes training efforts. The system is IT friendly and designed with enterprise class scalability, from departmental installations to global deployments.

Satisfies Auditor Guidance

Prodiance ESM was designed in close partnership with leading tax and audit firms to satisfy mandates for SOX 404 and the NAIC Model Audit Rule, so all recommended controls including change control, access control, version control, input control, analytics, back-up and archival, documentation, security and data integrity, and segregation of duties are built-in. The result is that your mission critical spreadsheets, Access databases and EUCs are managed with the highest level of integrity and trust throughout their lifecycle.

3 Levels of Control

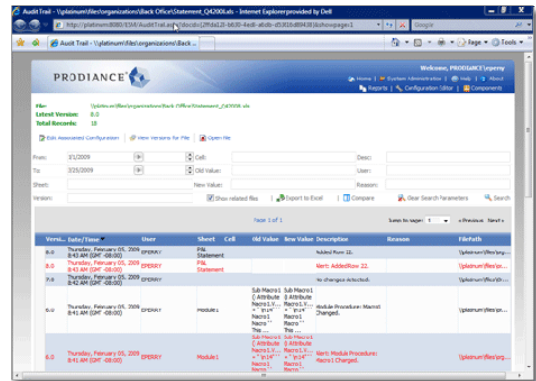
Prodiance ESM is the only solution that delivers 3 levels of audit and control, including: pervasive monitoring of all changes, exception based reporting of unauthorized changes, and complete lock down with role based security at the cell level. Prodiance ESM audits any and all changes to spreadsheets, Access databases and EUCs, providing a comprehensive, cell level audit trail. Audit trail data is captured in a relational database so it can be sliced and diced for management reports and used by reviewers to compare changes between any two versions. For highly regulated environments, Prodiance ESM provides cell level input control, preventing users from making unauthorized changes, saving files to unauthorized locations, or modifying the spreadsheet structure.



Corporate Headquarters
 Prodiance Corporation
 6612 Owens Drive
 Pleasanton, California 94588
 Tel: +1.925.460.9191
 Toll free: +1.866.569.5678
 Email: contact@prodiance.com
www.prodiance.com

P-01509, August 2009

© 2009 Prodiance Corporation. All rights reserved. Prodiance is a registered trademark of Prodiance Corporation. All other brands and product names are trademarks of their respective owners.



Automated Workflow & Risk Assessment

Prodiance ESM provides automated workflow, risk assessment and inventory management capabilities. As spreadsheets and other EUCs are updated by end users, Prodiance ESM re-evaluates risk per corporate policies and proactively alerts spreadsheet and EUC owners when errors, high risk and policy violations are introduced. Prodiance ESM also incorporates enterprise class workflow management to automate review and approval processes such as EUC certification or periodic attestations to satisfy compliance and corporate governance mandates.

Dashboards & Management Reporting

Prodiance ESM incorporates a web based portal with a robust reporting framework to help organizations track the control status of critical spreadsheets and EUCs from end to end. An extensive library of reports is provided along with graphical dashboards with drill down capability. Users and managers can view the status of critical EUCs at the enterprise level, and quickly drill down into cell level details.

Proven ROI

By deploying Prodiance ESM, organizations can establish effective and sustainable controls over mission critical spreadsheets, Access databases and other EUCs. Business benefits include shortening review and approval cycles by 50%, improving compliance, effectively mitigating operational risk, reducing errors, improving audit efficiency by 50-75%, and preventing fraud.

Ready to Take the Next Step?

For more information on Prodiance ESM or to schedule a personalized demonstration, contact Prodiance or visit us online today!